### Transforming tree phenotyping



### From shared datasets to fake datasets: an update on deep learning work at Scion

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Remote Sensing cluster group - 13 November 2023









#### Unlocking AI through Shared Datasets: Dataset partnerships for AI in forestry

Nicolò Camarretta, Melanie Palmer, Ben Steer, Robin Hartley, Grant Pearse



## Background and motivation

**<u>Rapid</u>** acceleration in 'deep learning' (AI):

- Computer vision largely solved
- Works well on geospatial imagery too: UAV, aerial
  & satellite
- General rule: If you can identify it, an algorithm can too ...with a large enough dataset (and the right model)





Imagenet: 1.4 M images in 1000 classes

ChatGPT  $\triangle$ Examples Capabilities Limitations Explain quantum computing in Remembers what user said May occasionally generate simple terms' earlier in the conversation incorrect informatio Got any creative ideas for a 10 Allows user to provide follow May occasionally produce year old's hirthday? up corrections harmful instructions or biased content How do I make an HTTP rained to decline inappropriat Limited knowledge of world and events after 2021

MNIST: 70,000 digits

### Deep learning – forestry applications

- Forestry companies are rapidly waking up to the potential for geospatial AI
- Scion AI research programme
- Deep learning + UAV imagery =  $\bigcirc$ 
  - Seedling detection
  - Stand boundaries
  - Tree counting (post thinning)
  - Cutover mapping
  - Species ID
  - Canopy segmentation
  - 3D deep learning (stem, branch, etc) LiDAR application



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# Deep learning – seedling detection 🌗 🥝 scion





### Deep Learning – stand boundary mapping

- Simple RGB imagery from a fixed wing aircraft is an important data source
- RGB used as input to deep learning to detect and delineate forest boundaries
- Recently used to detect damage from cyclone Gabrielle



https://www.smartforest.cloud/



## Deep learning – nursery phenotyping

- Early-crop phenotyping
- Deep learning detection and segmentation
- 20 images = 86% detection accuracy on test set





## Deep Learning – Challenges

Barriers to uptake

- New skill, new processes, new concepts
- Terminology, jargon
- Rethink business practices
- Hard to get started
- The datasets





(a) Object Classification



(c) Semantic Segmentation



Image: Liu et al. 2020 https://doi.org/10.1007/s11263-019-01247-4



(b) Generic Object Detection (Bounding Box)



Why focus on datasets?

"[T]he "dirty secret" of artificial intelligence is that getting the software to work well in the real world requires a large amount of high-quality data."

- Alexander Wang, Founder & CEO Scale AI in an interview with *Fortune* 



ADE20K: 25,000 densely annotated images



MS COCO: 164K in 80 categories



ImageNet 1.4 M images in 1000 classes

The dataset is often the hardest part

- Data acquisition is costly (UAV, plane, satellite)
- Data labelling can be very costly
- Significant time investment
- Quality is key
- It is an investment
- but...



Reasons to collaborate:

- Build large, diverse, high-quality datasets
  <u>key to generalization</u>
- Rapid dataset creation
- Efficient use of time and data
  - Focus data labelling effort on harder areas
  - Target collection of new data
- Scion's knowledge sharing: get it right, the first time
- Reduced costs (using existing data imagery & GIS)



#### Dataset diversity is a good thing





#### Why use Zenodo?

- Safe your research is stored safely for the future in CERN's Data Centre for as long as CERN exists.
- Trusted built and operated by CERN and OpenAIRE to ensure that everyone can join in Open Science.
- Citeable every upload is assigned a Digital Object Identifier (DOI), to make them citable and trackable.
- No waiting time Uploads are made available online as soon as you hit publish, and your DOI is registered within seconds.
- Open or closed Share e.g. anonymized clinical trial data with only medical professionals via our restricted access mode.
- Versioning Easily update your dataset with our versioning feature.
- GitHub integration Easily preserve your GitHub repository in Zenodo.
- Usage statistics All uploads display standards compliant usage statistics



#### Why deliver the dataset?

- Having the dataset lets you train your own model
  - Continually improve and update
  - Power to train your own model
  - Combine data with commercial tools
  - Value-add over duplicate dataset building

#### Why deliver the model?

- Becoming easier to deploy AI models
- Accessible tools (*e.g.*, ArcGIS Pro)
- Build familiarity with AI/ML in forestry



### We are looking for partners

# Please, get in contact!



### Transforming tree phenotyping

### A pipeline for generating high-fidelity synthetic point clouds for use in forest phenotyping

#### Scion Team:

Grant Pearse, Celine Mercier, Tancred Frickey, Grant Evans, Sadeepa Jayathunga, Robin Hartley, Elizaveta Graevskaya.

University of Sydney Team: Ahalya Ravendran and Mitch Bryson



### Our Goal

#### **Develop** a state-of-the-art phenotyping programme for radiata pine.

- Decision support system matching genotype to current and <u>future</u> climate
- Drought tolerance, disease resistance and carbon storage
- In-situ phenotyping pipeline that takes advantage of existing breeding trials
  - Partnership with Radiata Pine Breeding Company



Transforming Tree Phenotyping MBIE funded programme - NZ\$9 million over 5 years Programme lead: Michael Watt

- 1. Genetics: GxE
- 2. Cultural and native species phenotyping (Lania Holt)
- 3. Hyperspectral and thermal analysis for phenotyping (Michael Watt)
- 4. Advanced Single Tree Characterisation (Robin Hartley)







### 4. Advanced Single Tree Characterisation

Extract structural attributes from breeding trials and mature stands using laser scanning.

- Structural selection criteria e.g., branch size, inter-whorl distance, branch angle (damage susceptibility).
- Carbon and stem volume are also important traits

How to extract these structural attributes at scale from point clouds?

We use a two-pronged approach:

- 1. Quantitative Structural Models (QSM)
- 2. 3D deep learning on the point cloud



### QSM for tree characterisation from 3D data

- Quantitative structure models (QSM)
  - Rule-based point cloud processing
  - Extract and reconstruct stem, branches etc.
  - Excellent results but one-by-one
- Scale and level of detail are **big** challenges
- Getting good results but it is a highly parameterized workflow



Hartley, R., Jayathunga, S., Massam, P., Davidson, S., De Silva, D., Estarija, H., Wuraola, A., Pearse, G. (2021). *Capture and extraction of phenotypic traits from novel high-density point clouds.* Resilient Forests Tech Note

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### 3D Deep learning for tree characterisation

- FORInstance Dataset: Stefano Puliti -NIBIO
  - Benchmark dataset for ML classification of point clouds
  - Boreal to Tropical forest types
  - Manual annotation of thousands of trees
  - Hard work, expensive and some uncertainty in the labelling
- Is there another way?
- Synthetic data
- Common in other domains



Hartley, R., Jayathunga, S., Massam, P., Davidson, S., De Silva, D., Estarija, H., Wuraola, A., Pearse, G. (2021). *Capture and extraction of phenotypic traits from novel high-density point clouds*. Resilient Forests Tech Note



#### Synthetic data generation









### High-fidelity pipeline

- "Easy" to make nice-looking fake trees but they are not rooted in biological simulators
- Work with Singapore A\*STAR Institute
- Closing the loop is hard as these trees are for video games and the parameters are only loosely connected to biology
- Lots of interaction between settings
- Current approach is to train a model to predict the parameters needed to make synthetic trees that look like real trees
- Add some jitter to the settings for variation

Simulate trees based on parameters that generate realistic trees

Train 3D segmentation model on simulated data

Segment real trees and use as a reference for the simulator settings

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#### Two approaches for synthetic datasets creation

- High fidelity
- Naïve approach: copy-paste
- Computationally intensive



- Platform, scanner and trajectory settings dynamically controlled through HELIOS++ Python bindings
- Allows very detailed or very realistic point clouds
- Scaling up to stands (with needles) is extremely computationally demanding (2TB RAM)



## **Ground Truth Trees**

Airborne laser scanning (ALS)



Mobile ground-based scanning (MLS)



Mature Radiata Pine Trees Tumut NSW, Australia



Recreational Forest, Rotorua, New Zealand



### Learning-based Segmentation using Synthetic Helios Trees

We use PointNet++ architecture<sup>[1]</sup> for individual tree segmentation



[1] Qi, C.R., Yi, L., Su, H. and Guibas, L.J., 2017. Pointnet++: Deep hierarchical feature learning on point sets in a metric space. NeurIPS, 30.

### Quantitative Analysis - Results



Segmentation performance on Radiata Pine trees from Tumut (Australia)

Model Training	Training on Real Dataset	Synthetic High- fidelity Training	Helios-backend Synthetic Training
Test IoU foliage	0.971	0.838	<u>0.945</u>
Test IoU stem	0.528	0.120	<u>0.247</u>

Segmentation performance on Radiata Pine trees from Scion Recreational Park (New Zealand)

Model Training	Training on Real Dataset	Synthetic High- fidelity Training	Helion-backend Synthetic Training
Test IoU <sub>foliage</sub>	0.714	<u>0.648</u>	0.623
Test IoU <sub>stem</sub>	0.633	0.452	<u>0.572</u>



IoU = Intersection over Union (a measure of accuracy)

#### Results

- Quantitative Structural Modelling (QSM) results
- Strong results qualitative assessment as QSM is tuned to targets (DBH, volume etc.)







#### Next-steps

- Unify benchmarking and datasets for 3D DL and QSM
- Exploring the potential Sim2Real data gaps
- Scale-up synthetic datasets
- Test alternative deep learning architectures
- Test hybrid QSM-3D DL approach

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